



NetApp’s Statement to Suppliers on Responsible Sourcing

Conflict minerals is the term used to refer to four minerals – tungsten, tantalum, tin and gold (also known as 3TG) which are commonly used in the electronics industry. These minerals originate from areas impacted by armed conflict, violations of human rights, and the exploitation of the environment. NetApp is committed to being a responsible and active corporate citizen and conducting its operations in a socially and environmentally responsible manner.

Although NetApp does not directly obtain conflict minerals from the source and is multiple tiers removed from our immediate suppliers, we require our suppliers to practice due diligence regarding the origin and traceability of conflict minerals within their supply chain.

NetApp enforces commitment to support and implement its conflict minerals program through our [Supplier Code of Conduct](#) and [Conflict Minerals Policy](#). NetApp is committed to sourcing materials from socially responsible suppliers.

NetApp Expectations for Suppliers for DRC Conflict Free Supply Chains

- “Democratic Republic of the Congo (DRC) conflict free” is defined to mean the products do not contain minerals that directly or indirectly finance, or benefit armed groups in the Democratic Republic of the Congo or an adjoining country.
- Suppliers must source socially responsible minerals, specifically 3TG minerals from validated Smelters or Refiners (“SORs”) that are conformant with the applicable Responsible Minerals Initiative (“RMI”) Responsible Minerals Assurance Process (“RMAP”) standard or a cross-recognized scheme.
- It is expected that you inform your suppliers about any reported SOR that is not included on the "conformant" or "active" SOR lists maintained by the RMI, and ensure that your suppliers source from SORs that are conformant with the applicable RMI RMAP standard or a cross-recognized scheme across your entire supply chain.
- Establish a due diligence process to achieve a “DRC conflict free” supply chain and, if a supplier has not yet achieved a “DRC conflict free” supply chain, proactively take intentional measures to attain this goal.
- Deliver to NetApp timely, accurate, and transparent information concerning every supply source.

Sanctions Compliance Requirements

Specific to sanctions regulations, NetApp has a robust internal controls program designed to ensure compliance with U.S. and foreign export controls, economic sanctions, as well as customs regulations. To maintain compliance with these regulations, NetApp mandates its suppliers to guarantee that they will not supply any products obtained from or manufactured using materials from restricted destinations or entities.

NetApp suppliers are expected to:

- Ensure and confirm none of the products supplied to NetApp contain material from any sanctioned entity (including SORs within its supply chain). Additionally, conduct thorough



due diligence on listed SORs if information becomes known linking them to sanctioned parties.

- Remove high-risk and sanctioned smelters from supply chains, cleanse supply chains of restricted materials, and ensure no sanctioned party is included in the smelter list before submitting the Conflict Minerals Reporting Template (CMRT) to NetApp.

For any queries reach out to conflict.minerals@netapp.com