■ NetApp

Technical Report

Data protection and backup NetApp ONTAP FlexGroup volumes

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Abstract

This document covers data protection and backup for NetApp® ONTAP® FlexGroup volumes. Topics include NetApp Snapshot™ copies, NetApp SnapMirror®, and other data protection and backup solutions. For general FlexGroup volume best practices, see TR-4571: NetApp ONTAP FlexGroup volumes. For all other data protection information, see the data protection guide for your version of ONTAP 9.x on the NetApp product documentation pages.

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Overview

Document scope

This document intends to cover data protection best practices, considerations, and other related items as they pertain to NetApp ONTAP FlexGroup volumes. This document is not intended to be used for NetApp FlexVol volume configurations, nor is it aimed at audiences using NetApp Data ONTAP operating in 7-Mode.

Intended audience

This document's intended audience includes, but is not limited to, the following roles:

- Storage administrators
- Storage architects
- Field resources
- Business decision makers

If there are any questions about the content of this document, see the "Contact us" section of this document.

Data protection terminology

This section defines key terminology that is used when describing data protection.

Storage virtual machine

A storage virtual machine (SVM) is a logical file system namespace capable of spanning beyond the boundaries of physical nodes in a cluster:

- Clients can access virtual servers from any node in the cluster but only through the associated LIFs.
- Each SVM has a root volume under which more volumes are mounted, extending the namespace.
- An SVM can span several physical nodes.
- It is associated with one or more LIFs; clients access the data on the virtual server through the LIFs, which can live on any node in the cluster.

LIF

A LIF is essentially an IP address with associated characteristics, such as a home port, failover ports, a firewall policy, and a routing group:

- Client network data access is through LIFs dedicated to the SVM.
- An SVM can have more than one LIF. You can have many clients mounting one LIF or one client
 mounting several LIFs. This fact means that IP addresses are no longer tied to a single physical
 interface.

Data protection

Data protection is the process of safeguarding data from corruption or loss due to sitewide outages, ransomware, or other unforeseen circumstances that can cost businesses valuable time and money.

FlexClone

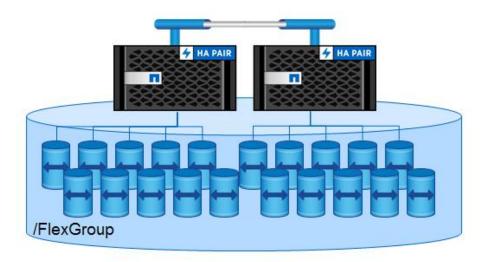
NetApp FlexClone® technology enables near-zero-space, exact, writable virtual copies of datasets, including volumes, files, and LUNs. It offers rapid, space-efficient creation of extra data copies ideally suited for disaster recovery testing and test and development environments.

FlexGroup volumes

FlexGroup volumes were introduced in ONTAP 9.1. FlexGroup volumes take the concept of the FlexVol volume and use ONTAP to create a single large container composed of multiple FlexVol volume members as shown in Figure 1. This approach enables a true scale-out NAS file system that can use all resources in a cluster while blending capacity, performance, and simplicity in its deployment.

For more information regarding FlexGroup volumes, see <u>TR-4571: NetApp ONTAP FlexGroup Volumes—</u> <u>Best practices and implementation guide.</u>

Figure 1) FlexGroup volume.



Logical directory replication/unified replication

SnapMirror unified replication refers to the use of NetApp SnapMirror® software with the same (unified) logical replication engine as in NetApp SnapVault® technology. This unified relationship type is designated extended data protection (XDP) and provides single baseline functionality at the volume level. This functionality drastically reduces storage and network bandwidth, which translates immediately into cost savings.

MirrorVault

A MirrorVault is a SnapMirror relationship that integrates SnapVault functionality into the replication, enabling asymmetric NetApp Snapshot™ copy counts on the source and destination. The MirrorAndVault policy in ONTAP controls this replication.

SnapMirror

SnapMirror provides asynchronous replication of volumes, independent of protocol. This replication occurs either in the cluster or to another ONTAP system for data protection and disaster recovery.

SnapRestore

NetApp SnapRestore® is a licensable feature that enables you to restore data from Snapshot copies in ONTAP.

Snapshot copies

Snapshot copies are automatically scheduled point-in-time copies that take up no space and incur no performance overhead when created. Over time, Snapshot copies consume minimal storage space, because only changes to the active file system are written. Individual files and directories can be easily recovered from any Snapshot copy, and the entire volume can be restored back to any Snapshot state in seconds. Snapshot copies can also be triggered manually.

SnapVault

You can copy volumes for space-efficient, read-only, disk-to-disk backup either in the cluster or to another ONTAP system. SnapVault, when used with version-independent SnapMirror, enables a single destination volume to serve as both a backup and disaster recovery copy.

Recovery point objective

Recovery point objective (RPO) refers to the amount of data at risk. This value determines the acceptable loss in data recovery scenarios because the more data you back up, the more cost is allocated to data protection infrastructure and management.

Recovery time objective

Recovery time objective (RTO) refers to the amount of downtime that is deemed as acceptable in disaster recovery scenarios.

Version independence/version flexibility with data protection

SnapMirror XDP offers the ability to replicate between ONTAP clusters that differ in ONTAP versions on source and destination clusters.

Data protection features with FlexGroup volumes

Table 1 lists data protection features and the ONTAP versions that support them with FlexGroup volumes. Check back for each new ONTAP release for more feature support for FlexGroup volumes.

Table 1) Data protection features: FlexGroup volumes.

Supported feature	Version of ONTAP first supported
Snapshot copies	ONTAP 9.1
SnapRestore	ONTAP 9.1 (diagnostic privilege only)
Microsoft Windows Previous Versions tab	ONTAP 9.1
Logical directory replication (XDP)	ONTAP 9.1
Version independence/version flexibility	ONTAP 9.1
SMB/NFS backup	ONTAP 9.1
SnapVault	ONTAP 9.3
MirrorVault	ONTAP 9.3
SnapDiff (2.0 and later)	ONTAP 9.4
NetApp MetroCluster	ONTAP 9.6
NDMP	ONTAP 9.7 (basic) ONTAP 9.8 (Restartable Backup Extension/RBE, EXCUDE, MULTI_SUBTREE_NAMES, IGNORE_CTIME_MTIME, per qtree exclude)
NetApp ONTAP tools for VMware vSphere (formerly VMware vSphere/Site Recovery Manager)	ONTAP 9.8
1,023 NetApp Snapshot support	ONTAP 9.8
Single-file SnapRestore (through ONTAP CLI)	ONTAP 9.8 (ONTAP tools for VMware vSphere and SnapMirror restore commands only)
NetApp SnapCenter®	ONTAP 9.8 (virtualization only through ONTAP tools for VMware vSphere)
SVM DR	ONTAP 9.9.1*

Supported feature	Version of ONTAP first supported
	* See "How SVM disaster recovery behaves (ONTAP 9.8 and earlier) when FlexGroup volumes are present" for limitations. FlexClone support is added in ONTAP 9.10.1
SnapMirror fan-out	ONTAP 9.9.1
Cascading SnapMirror	ONTAP 9.9.1
Single File SnapRestore	ONTAP 9.10.1
Snapshot rename	ONTAP 9.10.1
NetApp® XCP	All versions of ONTAP
Qtree SnapMirror	n/a
NetApp SnapProtect®	n/a
NetApp SnapManager®	n/a
SnapMirror to NetApp Cloud Backup (formerly NetApp AltaVault™)	n/a
NetApp SnapLock®	n/a
SnapMirror Synchronous	n/a
SnapMirror (data protection/DP style)	n/a
NetApp Snapshot automatic deletion	n/a
SnapMirror to Simple Storage Service (S3)	n/a
SnapMirror to tape (SMTape)	n/a
SnapMirror Business Continuity	n/a (SAN only)

Snapshot copies with FlexGroup volumes

NetApp Snapshot copies are point-in-time copies of a file system. NetApp ONTAP has supported Snapshot copies for decades, and NetApp is one of the pioneers of the technology.

When a NetApp Snapshot copy is created in ONTAP, inode pointers to the data in the active file system are created and are pointed to new locations in the storage. These pointers are read only from a client's perspective. As data is deleted from the active file system, it stays locked in a Snapshot copy until the Snapshot copy is deleted. Thus, space is not freed up when data is deleted until a Snapshot copy is deleted.

You can restore files individually from Snapshot copies from clients by navigating to the <code>.snapshot</code> directory in NFS or the <code>~snapshot</code> directory in CIFS/SMB or by using the Previous Versions tab in Windows. Snapshot copies can also restore individual files through <code>snap</code> <code>restore</code> commands with NetApp FlexVol volumes.

ONTAP supports Snapshot copies with FlexGroup volumes, with most of the functionality that a Snapshot copy provides with regular FlexVol volumes. Table 2 shows which features are supported for Snapshot copies in ONTAP with FlexGroup volumes.

Table 2) Snapshot feature support with FlexGroup volumes.

Snapshot feature/functionality	Supported?	ONTAP version first supported
Snapshot create	Yes	ONTAP 9.1
Snapshot restore (diagnostic level only)	Yes	ONTAP 9.1
Previous Versions tab	Yes	ONTAP 9.1
.snapshot directory access	Yes	ONTAP 9.1

Snapshot feature/functionality	Supported?	ONTAP version first supported
Support for 1,023 Snapshot copies	Yes	ONTAP 9.8
Single file SnapRestore from CLI (see the following Note)	Yes	ONTAP 9.8 (SnapMirror restore only) ONTAP 9.10.1 (full support)
Snapshot rename	No	ONTAP 9.10.1
Snapshot automatic deletion	No	n/a
Snapshot ordinal naming	No	n/a
Snapshot reclaimable space calculation	No	n/a
Snapshot comments	No	n/a
Snapshot remove owner	No	n/a

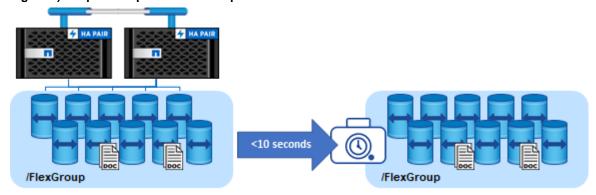
Note: Single File Snapshot Restore (SFSR) is available for virtual machines (VMs) in VMware datastores through the ONTAP tools for VMware vSphere UI and for use with SnapMirror restore in ONTAP 9.8. Single File SnapRestore through the CLI is available in ONTAP 9.10.1 and later.

How Snapshot copies work with FlexGroup volumes

NetApp ONTAP FlexGroup volumes are made up of a group of FlexVol member volumes. Snapshot copies are still made at the FlexVol volume level. When you need to create a FlexGroup Snapshot copy, the member volumes must be coordinated to create a consistent Snapshot copy of the file system. Remember that a FlexGroup volume makes generous use of remote hard links. Therefore, if a Snapshot copy is made of a member volume and the remote hard link is captured in flight, the Snapshot copy is essentially no good. Or, if a hard link is captured without the associated file, the Snapshot copy is no good.

To prevent these scenarios, a FlexGroup volume fences off data access during a Snapshot job and flushes cache entries so that the Snapshot copy is consistent. This process is similar to the Snapshot process that is used for SAN LUNs or for crash-consistent or application-consistent Snapshot copies, such as with VMware virtual machines (VMs). During this access fencing, reads and writes are paused for no more than 10 seconds in a worst-case scenario. Generally, this process completes in about one second, depending on load on the system, and is depicted in Figure 2. If a Snapshot copy takes more than 10 seconds to complete, it fails.

Figure 2) Snapshot copies in FlexGroup volumes.



Snapshot creation failures

If a Snapshot creation fails, ONTAP logs an event management system (EMS) error message:

wafl.snap.create.skip.reason: volume X skipping creation of daily.Y snapshot copy (snapshot creation could not be initiated within ten seconds).

This error generally occurs when a Snapshot copy cannot finish in the 10-second timeout period. The 10-second value is not configurable.

To avoid scenarios that can cause Snapshot copies to fail because of timeouts, consider the following best practices:

- Avoid creating Snapshot copies during scheduled RAID scrub windows.
- Avoid creating Snapshot copies on a FlexGroup volume when other volumes are experiencing peak workloads.
- If a cluster has multiple FlexGroup volumes, stagger the scheduled Snapshot copy creation not to occur simultaneously.
- Attempt to keep the node's CPU level from 40% to 70%.

If the issue persists, contact technical support for assistance.

FlexGroup Snapshot guidelines

- If any FlexVol member volume cannot make a Snapshot copy because of space or performance
 issues, then the FlexGroup Snapshot copy is marked as "invalid" and is automatically cleaned up by
 ONTAP. Invalid Snapshot copies are not viewable by default through the CLI. The process is
 transparent to storage administrators.
- If a Snapshot copy is considered "partial," it cannot be used in SnapRestore operations. However, partial Snapshot copies can be used to restore individual files from .snapshot directories or the Previous Versions tab.
- SnapRestore is an all-or-nothing proposition. When you restore a FlexGroup volume, you restore the entire container. You cannot restore member FlexVol volumes individually.
- If a FlexGroup volume is modified to include more members, previously created Snapshot copies are considered "partial" and are available only for .snapshot directory or Previous Versions access from clients.
- Because of the effect of fencing the FlexGroup volume access during Snapshot copy creation, Snapshot copy schedules must be in intervals of 30 minutes or more.

SnapMirror and SnapVault with FlexGroup volumes

NetApp SnapMirror support for NetApp ONTAP FlexGroup volumes is present in ONTAP 9.1 and later. NetApp SnapVault support is present in ONTAP 9.3 and later.

The current support includes only the logical replication engine (logical replication with storage efficiency [LRSE]/extended data protection [XDP] relationships). Table 3 shows a list of SnapMirror features supported with FlexGroup and the version of ONTAP in which the feature was first introduced.

Table 3) SnapMirror feature support with FlexGroup volumes.

SnapMirror feature/functionality	Supported?	ONTAP version first supported
SnapMirror (logical/XDP)	Yes	ONTAP 9.1
Version-flexible SnapMirror	Yes	ONTAP 9.1
FlexGroup expansion without SnapMirror return to baseline	Yes	ONTAP 9.3
SnapVault	Yes	ONTAP 9.3
Unified SnapMirror and MirrorVault	Yes	ONTAP 9.3
SnapMirror to NetApp Cloud Volumes ONTAP	Yes	ONTAP 9.6
Storage virtual machine disaster recovery (SVM DR)	Yes	ONTAP 9.9.1

SnapMirror feature/functionality	Supported?	ONTAP version first supported
Cascading SnapMirror relationships	Yes	ONTAP 9.9.1
SnapMirror fan-out	Yes	ONTAP 9.9.1
SnapLock	No	n/a
Load-sharing mirrors for data I/O (LSM)	No	n/a (deprecated for all volume types; use NetApp FlexCache volumes instead)
SnapMirror (block/DP)	No	n/a
SnapMirror to AltaVault (deprecated, replaced by Cloud Backup in 2018)	No	n/a
NetApp SolidFire® to ONTAP SnapMirror	No	n/a
SnapMirror Synchronous	No	n/a
SnapMirror to tape (SMTape)	No	n/a
Qtree SnapMirror	No	Supported only in Data ONTAP operating in 7-Mode
NetApp Snapshot naming/autodelete See: Table 2) Snapshot feature support with FlexGroup volumes.	No	n/a
SnapMirror from FlexVol to FlexGroup	No	n/a
SnapMirror from FlexGroup to FlexVol	No	n/a
SnapMirror to S3	No	ONTAP 9.10.1 (S3 to S3 only)
SnapMirror Business Continuity	No	n/a (SAN only)

A NetApp FlexGroup volume can span multiple nodes and there is coordination needed across member FlexVol volumes when a Snapshot or SnapMirror update is performed. Thus, there are limitations to how frequently these operations can take place before job failures might start to be regularly seen. These limits are shown in Table 4 and although they aren't hard limits they should be adhered to for the best possible results.

Table 4) FlexGroup data protection minimums.

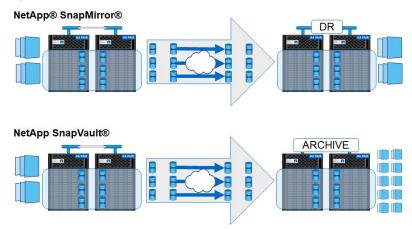
Schedule	Supported interval	Hard limit?
SnapMirror schedule	30 minutes	No
Snapshot schedule	30 minutes	No

Should I use SnapMirror or SnapVault?

ONTAP 9.3 adds support for SnapVault for FlexGroup volumes. This support enables storage administrators to replicate FlexGroup volumes asynchronously to a destination volume and preserve more Snapshot copies than exist on the source volume. Figure 3 shows the layout for SnapMirror versus SnapVault with FlexGroup volumes, and SnapMirror and SnapVault use cases can be broken down as follows:

- **SnapMirror relationships.** Intended for disaster recovery and provide an exact replica of the source volume, including the number of Snapshot copies on the source.
- SnapVault relationships. Intended for backup and archive use cases by providing a path to Snapshot copies older than what might exist on the source volume. ONTAP 9.8 and later supports up to 1023 Snapshot copies per FlexVol member volume in a FlexGroup.

Figure 3) SnapMirror versus SnapVault with FlexGroup volumes.



How SnapMirror works with FlexGroup volumes

SnapMirror with FlexGroup volumes operate much like Snapshot copies do, because the basis of a SnapMirror copy is the Snapshot copy. Access is fenced, and all volumes must make Snapshot copies at the same time to promote consistency. After that similarity, however, SnapMirror operates by applying concurrent transfers of the member volume constituents to the disaster recovery site. All members transfer at the same time; there is no way to mirror individual member volumes with SnapMirror. If Snapshot copies fail on the source for any member, the SnapMirror transfer does not initiate.

Creating a SnapMirror and SnapVault relationship for a FlexGroup volume

The following section covers basic steps for creating a SnapMirror and SnapVault relationship for a FlexGroup volume. ONTAP has introduced numerous simplicity enhancements for deploying and protecting FlexGroup volumes, including simplified data protection workflows in ONTAP System Manager.

You can view which volumes have data protection enabled in the main volume view or you can use the Protection Overview dashboard to show which clusters have been peered. You can also view how many volumes are and are not protected as well as which SnapMirror relationships might be unhealthy.

In general, the same rules for FlexVol volumes and SnapMirror apply to FlexGroup volumes.

The high-level requirements are:

- Valid SnapMirror licenses on source and destination clusters.
- Source and destination clusters within the supported ONTAP version range.
- Cluster and SVM peers created.
- Available space and volume count on the destination to enable volume creation.

■ ONTAP System Manager Overview DASHBOARD STORAGE Protected Data Intercluster Settings Snapshot Copies (Local) Network Interfaces IPADDRESS

✓ 10.193.67.57

✓ 10.193.67.59

✓ 192.168.90.200

✓ 192.168.90.201 Protect for Business Continuity 1 Cluster Peers PEERED CLUSTER NAME

aff

F8040-56-122-124

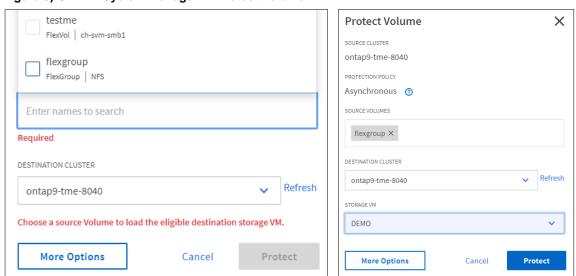
tme-a300-efs01

tme-fas8020-mcc-01 Lets you select specific volumes for protection if you do not need to protect entire storage VMs. Replication Issues EVENTS & JORS PROTECTION Destination Relationship Status Relationship Health Configure CLUSTER ▼ Local Policy Settings ② i Storage VM Peers

Figure 4) ONTAP System Manager Data Protection Overview dashboard.

As shown in Figure 4 if you click "Protect Volumes", you can select one FlexGroup to configure a relationship. ONTAP System Manager allows you to select multiple FlexVol volumes, but not multiple FlexGroup volumes. Then, you select a destination cluster and destination SVM.

Figure 5) ONTAP System Manager — Protect Volume.



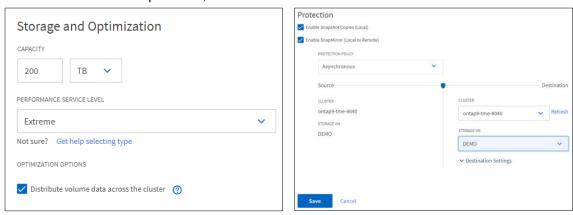
After you have identified the volume that you want to protect and selected the destination cluster and SVM as shown in Figure 5, the rest is simple. Click Protect and ONTAP System Manager creates the destination FlexGroup, SnapMirror relationship and then initializes the first transfer in a single click.

If you do not see your desired destination cluster or SVM in the list, you need to create cluster and SVM peers.

Protecting a new FlexGroup volume

When a new FlexGroup volume is created, storage administrators can easily protect the volume with a SnapMirror or SnapVault relationship during the volume creation. This is provided that the cluster has been peered successfully with another cluster. Simply click "More Options" during volume creation and do the following:

1. To make a FlexGroup volume, select the Distribute Volume Data Across the Cluster checkbox.



- 2. Scroll down and select the desired protection options.
- 3. Click Save.

ONTAP does the rest.

Note: Both clusters must have a SnapMirror license.

SnapMirror and SnapVault considerations

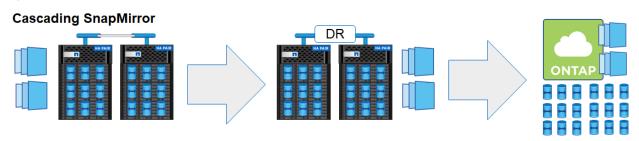
The following section covers some considerations about SnapMirror and SnapVault use with FlexGroup volumes.

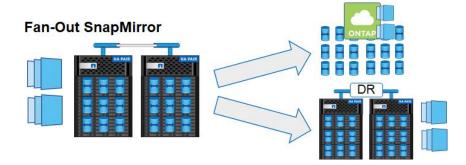
SnapMirror fan-out and cascading SnapMirror

With NetApp FlexVol volumes, it is possible to set up SnapMirror relationships that either span source \rightarrow destination \rightarrow secondary destination (cascade) or source A \rightarrow destination A and source A \rightarrow destination B (fan-out).

These SnapMirror options, as shown in Figure 6, are available in ONTAP 9.9.1 for use with FlexGroup volumes.

Figure 6) SnapMirror cascade and fan-out.





SnapMirror resync considerations

A snapmirror resync operation restores or redefines a SnapMirror source or destination relationship that was broken with the snapmirror break command. When a resync occurs, a common Snapshot copy between the primary and secondary FlexGroup volumes is used to restore the FlexGroup volume to a common checkpoint. With this approach, any data accumulated on the secondary volume after a SnapMirror break is lost. An appropriate confirmation occurs when snapmirror resync is run. After you run the resync, all member volumes in the FlexGroup volume are set to a data protection status. This setting fences off read/write permission to the volumes and maintains consistency in the volumes. Resyncs might report failures if a FlexGroup volume's source or destination has been expanded (more member volumes added) between the previous snapmirror break and snapmirror resync commands.

SnapMirror restore considerations

SnapMirror restore operations restore the entire contents of a Snapshot copy from one volume to another volume. A SnapMirror relationship of type RST is created from the source volume to the destination volume by the <code>snapmirror</code> restore command. This relationship lasts during the restore operation and is deleted when the command completes successfully.

When you use snapmirror restore to recover from a backup, the entire FlexGroup volume is restored, similar to Snapshot restore. Again, keep the following in mind:

- Individual member volumes cannot be restored.
- Single File SnapRestore (using the ONTAP CLI or UI) is supported in ONTAP 9.10.1 and later, and
 you can restore single files from clients by using the Previous Versions tab in CIFS/SMB or the
 .snapshot directory in NFS. <u>Single-file SnapMirror restore</u> is supported by the CLI in ONTAP 9.8
 and later.
- When a read/write volume is converted to a data protection volume and reverted to read/write, any
 data accumulated beyond the latest Snapshot copy is lost. Before restoring a SnapMirror volume,
 create a new Snapshot copy on the primary volume to avoid data loss.

Expanding a FlexGroup volume/adding new member volumes

You can use volume expansion to increase the number of member volumes in a FlexGroup volume to add capacity and scale out a volume across multiple nodes in a cluster.

The volume expand command does not work natively with FlexGroup volumes participating in SnapMirror relationships earlier than ONTAP 9.3 because those commands require a return to baseline of the SnapMirror relationship. ONTAP 9.3 introduces the enhancement to enable volume expansion on FlexGroup volumes participating in a SnapMirror relationship without the need to return to baseline. As of ONTAP 9.3, ONTAP automatically adjusts the FlexGroup member volume count on the next SnapMirror update.

Note: If you use SnapMirror with FlexGroup volumes, use ONTAP 9.3 or later.

Expanding FlexGroup volumes in SnapMirror relationships earlier than ONTAP 9.3

To expand a volume (to add more members) in a SnapMirror relationship earlier than ONTAP 9.3, perform the following steps:

- 1. Perform snapmirror delete of the existing relationship on the destination.
- 2. Perform snapmirror release on the source.
- 3. Perform volume delete of the destination FlexGroup data protection volume.
- 4. Perform volume expand of the source FlexGroup volume.
- 5. Perform volume create of a new destination FlexGroup data protection volume with same size and constituent count as the source FlexGroup volume.
- 6. Perform snapmirror initialize of the new relationship (return to baseline).

Growing the member volumes without needing to return to baseline the relationship is supported with SnapMirror and FlexGroup as of ONTAP 9.3.

FlexGroup SnapMirror guidelines

- The guidelines for Snapshot copies also apply to SnapMirror and are summarized in Table 5. Partial Snapshot copies fail the entire Snapshot operation. Snapshot copies are created as a group. Access is fenced off for no longer than 10 seconds.
- FlexGroup volumes that are being copied by using SnapMirror must have an equal number of member volumes on the source and destination.
- In versions earlier than ONTAP 9.3, if a FlexGroup volume is expanded to include more members, you must return to baseline the SnapMirror relationship. This step is completed by using a new secondary FlexGroup volume with the correct number of member volumes. After ONTAP 9.3, ONTAP manages the SnapMirror relationship adjustment.
- Destination FlexGroup volumes can be larger than source volumes but cannot be smaller.
- Destination FlexGroup volumes can reside on any supported ONTAP architecture, regardless of hardware, provided the capacity and member volume requirements are met. For example, a FlexGroup volume that lives on a four-node NetApp AFF A700 all-flash storage system cluster can be mirrored to a single-node NetApp FAS2600 series node if it has the same number of member volumes.
- SnapMirror schedule intervals should not be less than 30 minutes.

Table 5) Member volume count considerations for FlexGroup SnapMirror relationships.

Member volume count limits for FlexGroup volumes participating in SnapMirror relationships	ONTAP 9.4 and earlier	ONTAP 9.5 and later
Member volume count	32	200
Member volume count per node*	n/a	50
Member volume count per node (multiple FlexGroup volumes)**	n/a	500
Member volume count per cluster (all FlexGroup volumes)**	100	6,000

^{*}Exceeding this limit can affect recovery point objectives (RPOs).

Creating SnapMirror relationships when NetApp FabricPool is involved

When you create a FlexGroup volume on aggregates with FabricPool enabled, each aggregate where member volumes are created must be FabricPool aggregates. If there are non-FabricPool aggregates, then creation fails. These aggregates include FlexGroup volumes that are part of a SnapMirror relationship. Automated tools like ONTAP System Manager and the CLI option -auto-provision-as

^{**}Same limits as FlexVol volumes.

try to use all available aggregates in the system. If you have a mixture of aggregates or if the FlexGroup creation fails due to FabricPool aggregates, use the manual creation methods covered in TR-4571.

How SVM disaster recovery behaves (ONTAP 9.8 and earlier) when FlexGroup volumes are present

If you are using SVM disaster recovery in your cluster in ONTAP releases earlier than ONTAP 9.9.1, you cannot use FlexGroup volumes in the same SVM. Also, if you try to create an SVM disaster recovery relationship in an SVM where FlexGroup volumes are present before ONTAP 9.9.1, the command fails with an error. ONTAP 9.9.1 adds support for SVM-DR for FlexGroup volumes, but with the following caveats:

When SVM-DR is in use, there is no current support in ONTAP 9.10.1 for the following:

- FabricPool
- Fan-out
- Cascading SnapMirror

Note: Support for FlexClone volumes and FlexVol to FlexGroup volume conversion without a SnapMirror return to baseline is available in ONTAP 9.10.1.

SnapMirror throttle behavior

When you set a <u>SnapMirror throttle</u> on a relationship with a FlexGroup volume, the throttle is not divided across member volumes. Instead, the throttle is set the same to each member volume in the FlexGroup.

For example, if you set a throttle of 100Mbps on a FlexGroup with 16 members, the throttle is not 100Mbps/16 members. Instead, it is 100Mbps * 16 members, for a total throttle of 1600Mbps.

SnapMirror restore of a single file from the CLI

In releases earlier than ONTAP 9.10.1, you cannot restore single files from the CLI by using the snapshot restore command, but you can use the snapmirror restore command with the – file-list flag to restore single files in a FlexGroup volume from a SnapMirror destination volume in ONTAP 9.8 and later.

```
cluster::> snapmirror restore -file-list [/folder1/folder2/file.name] -source-snapshot [snapname.202x-xx-xx_xxxx] -source-path [SVM_TO_RESTORE_FROM:vol] -destination-path [SVM_TO_RESTORE_TO:vol]
```

Note: In ONTAP 9.8 and earlier, SnapMirror cascade and fan-out is not supported, which means you cannot restore a file by using SnapMirror restore without first breaking the SnapMirror relationship. In ONTAP 9.9.1 and later, you can restore single files by using SnapMirror restore without needing to break the mirror relationship.

Note: In ONTAP 9.10.1, Single File SnapRestore is supported.

For example, in the Tech_ONTAP volume, there is a file named <code>ILoveNetApp.mp3</code>.

```
# pwd
/ToTarchive/TechONTAP
# ls -la | grep Love
-rwxr-xr-x 1 host games 41086 Sep 21 2017 ILoveNetApp.mp3
```

This example is the SnapMirror relationship:

```
cluster::*> snapmirror show

Progress

Source Destination Mirror Relationship Total Last

Path Type Path State Status Progress Healthy Updated

DEMO:Tech_ONTAP
```

```
XDP COMPANYB:Tech_ONTAP_mirror
Snapmirrored
Idle - true -
```

We delete the file:

```
# rm ILoveNetApp.mp3
rm: remove regular file 'ILoveNetApp.mp3'? y
# ls -la | grep Love
#
```

Then, we restore it by using snapmirror restore using the snapshot daily.2021-01-19 0010.

```
cluster::> snapmirror restore -file-list /TechONTAP/ILoveNetApp.mp3 -source-snapshot daily.2021-01-19_0010 -source-path COMPANYB:Tech_ONTAP_mirror -destination-path DEMO:Tech_ONTAP

Warning: This command will overwrite any file on destination "DEMO:Tech_ONTAP" that has the same path as any of the files to be restored.

Do you want to continue? {y|n}: y

[Job 35659] Job is queued: snapmirror restore from source "COMPANYB:Tech_ONTAP_mirror" for the snapshot daily.2021-01-19_0010.
```

Within seconds, the file is restored:

```
cluster::> job show -id 35659 -instance
                     Job ID: 35659
              Owning Vserver: cluster
                       Name: Snapmirror FG Restore
                 Description: snapmirror restore from source "COMPANYB: Tech ONTAP mirror" for the
snapshot daily.2021-01-19 0010
                    Priority: High
                       Node: cluster-01
                    Affinity: Cluster
                    Schedule: @now
                  Queue Time: 03/11 13:32:53
                  Start Time: 03/11 13:32:54
                    End Time: 03/11 13:33:09
              Drop-dead Time: -
                 Restarted?: false
                      State: Success
                 Status Code: 0
           Completion String: SnapMirror FG Restore Succeeded
                   Job Type: FG RestoreV2
               Job Category: FG SnapMirror
          Execution Progress: Complete: SnapMirror FG Restore Succeeded [0]
                  User Name: admin
Restart Is Delayed by Module: -
```

This is the output from the client:

```
# ls -la | grep Love
-rwxr-xr-x 1 host games 41086 Mar 11 13:33 ILoveNetApp.mp3
```

MetroCluster

NetApp ONTAP 9.6 introduces support for FlexGroup volumes on NetApp MetroCluster deployments (FC and IP).

MetroCluster software is a solution that combines array-based clustering with synchronous replication to deliver continuous availability and zero data loss at the lowest cost. There are no stated limitations or caveats for FlexGroup volumes with MetroCluster.

For more information about MetroCluster, see <u>TR-4705: NetApp MetroCluster: Solution Architecture and Design.</u>

NetApp SnapDiff support

ONTAP 9.4 and later introduces support for NetApp SnapDiff® for FlexGroup volumes. SnapDiff is described in the kilobyte article, FAQ: SnapDiff Support in ONTAP.

NetApp SnapDiff is only used by licensed backup partners. The APIs are not available for public consumption but only through supported backup software. FlexGroup volumes support only NetApp SnapDiff 2.0 and later, so contact your backup vendor to find out what SnapDiff support they currently offer.

For more information about NetApp SnapDiff, see the following resources:

- Tech ONTAP Podcast Episode 264: NetApp ONTAP SnapDiff
- A New Backup Architecture SnapDiff V3

FlexVol to FlexGroup conversion: Data protection considerations

In NetApp ONTAP 9.7 and later, you can convert a single NetApp FlexVol volume to a FlexGroup volume with a single member volume, in place, with less than 40 seconds disruption. You can perform this conversion regardless of the amount of data capacity or number of files in the volume. There is no need to remount clients, copy data, or make other modifications that could create a maintenance window. After you convert the FlexVol volume to a FlexGroup volume, you can add new member volumes to expand the capacity.

Why convert a volume to a FlexGroup volume

FlexGroup volumes offer a few advantages over FlexVol volumes, such as:

- Ability to expand beyond 100TB and 2 billion files in a single volume
- Ability to scale out capacity or performance nondisruptively
- Multi-threaded performance for high ingest workloads
- Simplification of volume management and deployment

For example, if you have a workload that is growing rapidly but you do not want to migrate the data (but you still want to provide more capacity), you can convert to a FlexGroup volume. Or perhaps a workload's performance is not good enough on a FlexVol volume, so you want to provide better performance handling with a FlexGroup volume. Converting can help here, too.

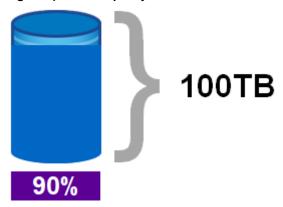
When not to convert a FlexVol volume

Converting a FlexVol volume to a FlexGroup volume might not always be the best option. If you require FlexVol features that are not available in FlexGroup volumes, then you should hold off. For example, SnapMirror Synchronous relationships are currently not supported, so if you need these features, you should stay with FlexVol volumes.

Also, if you have a FlexVol volume that is already large (80–100TB) and already full (80–90%), you might want to copy the data rather than convert it. This option might be preferable because the converted FlexGroup volume has a large, full member volume. Such a large volume can create performance issues and does not fully resolve your capacity issues, particularly if that dataset contains files that grow over time.

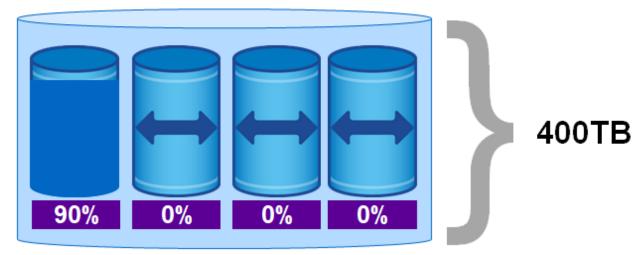
For example, if you have a FlexVol volume that is 100TB in capacity and 90TB used, it looks as shown in Figure 7:

Figure 7) 100TB capacity FlexVol volume with 90TB capacity used.



If you convert this 90% full volume to a FlexGroup volume, you have a 90% full member volume. If you add new member volumes, as shown in Figure 8, they are 100TB each and 0% full, so they take on most new workloads. The data does not automatically rebalance, and if the original files grow over time, you can still run out of space with nowhere to go (because 100TB is the maximum member volume size).

Figure 8) FlexVol volume with a 90% full member volume.



For more detailed information about FlexVol to FlexGroup conversion, including commands, caveats, functionality, and examples, see <u>TR-4571: NetApp ONTAP FlexGroup volumes</u>. The following sections pertain to FlexVol conversion only as it applies to data protection and disaster recovery ONTAP functionality.

FlexVol conversion: NetApp ONTAP Snapshot considerations

When a FlexVol volume has NetApp Snapshot copies present and is converted to a FlexGroup volume, those Snapshot copies remain in place and can be used to do client-side restores of files and folders through the .snapshot or ~snapshot directory or through the Previous Versions tab in Windows. However, the leftover Snapshot copies are unavailable for full NetApp SnapRestore operations. These Snapshot copies age out eventually and are deleted by ONTAP. New FlexGroup Snapshot copies can be used to perform SnapRestore operations. Snapshot copies being tiered by FabricPool continue to be tiered with no disruption or rehydration of data until file access is requested from those Snapshot copies.

In ONTAP 9.7, if a FlexVol volume has more than 255 Snapshot copies present, then FlexVol to FlexGroup volume conversion is blocked because FlexGroup volumes do not support more than 255 Snapshot copies in that release. Snapshot copies must be deleted to get below 255.

Also, in ONTAP 9.7, each Snapshot copy per volume in ONTAP has a unique ID number (physical-snap-id), up to 1,023. These IDs cycle back to 1 after the maximum ID number has been reached. When you attempt to convert a FlexVol volume to a FlexGroup volume, if physical-snap-id values greater than 255 exist, conversion is blocked until those IDs are cleared. You can view physical-snap-id values with the advanced privilege command snapshot show -fields physical-snap-id. To view only offending Snapshot copies, use the following command:

```
cluster::*> snapshot show -vserver DEMO -volume flexvol -physical-snap-id >255 -fields physical-
snap-id
vserver volume snapshot
                                       physical-snap-id
DEMO flexvol weekly.2020-01-05 0015 471
DEMO flexvol weekly.2020-01-12 0015 648
     flexvol daily.2020-01-14_0010 698
DEMO
DEMO
       flexvol daily.2020-01-15 0010
DEMO flexvol hourly.2020-01-15 1405 737
DEMO flexvol hourly.2020-01-15 1505 738
     flexvol hourly.2020-01-15_1605 739 flexvol hourly.2020-01-15_1705 740
DEMO
DEMO
DEMO flexvol hourly.2020-01-15 1805 741
      flexvol hourly.2020-01-15 1905 742
DEMO
```

To clear the volume of physical-snap-id IDs greater than 255, you have the following options:

- Delete the offending Snapshot copies.
- Wait for the offending Snapshot copies to roll off.
- Run a script that creates and deletes Snapshot copies until the physical-snap-id IDs cycle back below 255.

ONTAP 9.8 lifts this restriction by adding support for 1023 Snapshot copies. If you don't want to delete Snapshot copies when converting, upgrade to ONTAP 9.8.

FlexVol conversion: SnapMirror considerations

To convert FlexVol volumes participating in SnapMirror relationships, follow the same considerations required for Snapshot copies in the previous section.

If you transitioned a FlexVol volume from a system running Data ONTAP operating in 7-Mode by using the 7-Mode Transition Tool (7MTT), conversion is prevented in ONTAP 9.7 but allowed in ONTAP 9.8.

To check the volume's transition status, use the following command:

```
volume show -volume [volname] -fields is-transitioned
```

Also, there are considerations needed for both source and destination volumes to preserve the existing SnapMirror relationship and to help avoid the need to return to baseline the SnapMirror relationship.

Steps for converting a FlexVol volume in a SnapMirror relationship

When converting a FlexVol volume participating in a SnapMirror relationship, use the following steps.

- 1. Optional: Create a NetApp FlexClone copy of the volume to be converted and split it to test FlexVol to FlexGroup volume conversion.
 - For more information, see TR-4571: NetApp ONTAP FlexGroup volumes.
- 2. Before you convert a FlexVol volume, it is a best practice to first run the command with the -check-only flag on both source and destination volumes to see if any preparation steps are required and if there are any conversion blockers present. For details about possible conversion blockers, see "FlexVol conversion: NetApp ONTAP Snapshot considerations" and "FlexVol conversion: SnapMirror considerations."

- 3. Clear any blockers on the FlexVol volume or wait until the blockers can be cleared (for example, if Snapshot copies must be deleted).
- 4. Quiesce the SnapMirror relationship.
- 5. Convert the SnapMirror destination volume first.
- After the destination volume is converted, test access and functionality of the new FlexGroup volume if desired.
- 7. Convert the source volume.
- 8. Resync the SnapMirror relationship.
- 9. Test access and file restore functionality as desired.

Expanding the FlexGroup volume in SnapMirror relationships

When a FlexVol volume is converted to a FlexGroup volume, the result is a FlexGroup volume with a single member volume. A FlexGroup volume can scale out to hundreds of member volumes for optimal capacity and performance considerations. Usually, a newly converted FlexGroup volume must then be expanded to add more member volumes to realize the FlexGroup volume's full potential.

Because a SnapMirror relationship with FlexGroup volumes requires the same number of member volumes on the source and the destination, expanding the source volume causes a temporary disruption in SnapMirror operations. ONTAP automatically expands the destination FlexGroup volume for you on the next SnapMirror update, as covered in the section "Expanding a FlexGroup volume/adding new member volumes". Also, see the example in the next section.

Converting a FlexVol volume in a SnapMirror relationship: Example

You can also convert FlexVol volumes that are part of existing SnapMirror relationships without disruption. The following is a volume in a SnapMirror relationship:

```
cluster::*> snapmirror show -destination-path data_dst -fields state
source-path destination-path state
------
DEMO:data DEMO:data_dst Snapmirrored
```

If you try to convert the source, you get an error.

So, you must convert the destination first.

To convert the destination, quiesce the SnapMirror relationship:

```
cluster::*> vol conversion start -vserver DEMO -volume data_dst -check-only true

Error: command failed: Cannot convert volume "data_dst" in Vserver "DEMO" to a FlexGroup. Correct the following issues and retry the command:

* The relationship was not quiesced. Quiesce SnapMirror relationship using "snapmirror quiesce -destination-path data_dst" and then try the conversion.
```

2. Next, convert the volume.

```
cluster::*> snapmirror quiesce -destination-path DEMO:data_dst
Operation succeeded: snapmirror quiesce for destination "DEMO:data_dst".

cluster::*> vol conversion start -vserver DEMO -volume data_dst -check-only true
```

Conversion of volume "data_dst" in Vserver "DEMO" to a FlexGroup can proceed with the following warnings:

* After the volume is converted to a FlexGroup, it will not be possible to change it back to a flexible volume.

* Converting flexible volume "data_dst" in Vserver "DEMO" to a FlexGroup will cause the state of all Snapshot copies from the volume to be set to "pre-conversion". Pre-conversion Snapshot copies cannot be restored.

When you convert the volume, it tells you your next steps.

```
cluster::*> vol conversion start -vserver DEMO -volume data_dst

Warning: After the volume is converted to a FlexGroup, it will not be possible to change it back to a flexible volume.

Do you want to continue? {y|n}: y

Warning: Converting flexible volume "data_dst" in Vserver "DEMO" to a FlexGroup will cause the state of all Snapshot copies from the volume to be set to "pre-conversion". Pre-conversion Snapshot copies cannot be restored.

Do you want to continue? {y|n}: y

[Job 23710] Job succeeded: SnapMirror destination volume "data_dst" has been successfully converted to a FlexGroup volume. You must now convert the relationship's source volume, "DEMO:data", to a FlexGroup. Then, re-establish the SnapMirror relationship using the "snapmirror resync" command.
```

3. Convert the source volume:

```
cluster::*> vol conversion start -vserver DEMO -volume data

Warning: After the volume is converted to a FlexGroup, it will not be possible to change it back to a flexible volume.

Do you want to continue? {y|n}: y

Warning: Converting flexible volume "data" in Vserver "DEMO" to a FlexGroup will cause the state of all Snapshot copies from the volume to be set to "pre-conversion". Pre-conversion Snapshot copies cannot be restored.

Do you want to continue? {y|n}: y

[Job 23712] Job succeeded: success
```

4. Resync the mirror:

The conversion works, but the most important part of a SnapMirror relationship is the restore operation. Therefore, you must see if you can access files from the destination volume's Snapshot copy.

5. Mount the source and destination and compare the ls output:

```
# mount -o nfsvers=3 DEMO:/data_dst /dst
# mount -o nfsvers=3 DEMO:/data_/data
```

This following output shows the content of the source volume:

```
# 1s -lah /data
total 14G
drwxrwxrwx 6 root root 4.0K Nov 14 11:57 .
dr-xr-xr-x. 54 root root 4.0K Nov 15 10:08 ..
drwxrwxrwx 2 root root 4.0K Sep 14 2018 cifslink
drwxr-xr-x 12 root root 4.0K Nov 16 2018 nas
-rwxrwxrwx 1 prof1 ProfGroup 0 Oct 3 14:32 newfile
drwxrwxrwx 5 root root 4.0K Nov 15 10:06 .snapshot
lrwxrwxrwx 1 root root 23 Sep 14 2018 symlink -> /shared/unix/linkedfile
drwxrwxrwx 2 root bin 4.0K Jan 31 2019 test
drwxrwxrwx 3 root root 4.0K Sep 14 2018 unix
-rwxrwxrwx 1 newuser1 ProfGroup 0 Jan 14 2019 userfile
-rwxrwxrwx 1 root root 6.7G Nov 14 11:58 Windows2.iso
-rwxrwxrwx 1 root root 6.7G Nov 14 11:37 Windows.iso
```

The destination volume matches exactly, as it should.

```
# 1s -lah /dst
total 14G
drwxrwxrwx 6 root root 4.0K Nov 14 11:57 .
dr-xr-xr-x. 54 root root 4.0K Nov 15 10:08 .
drwxrwxrwx 2 root root 4.0K Sep 14 2018 cifslink
dr-xr-xr-x 2 root root 0 Nov 15 2018 nas
-rwxrwxrwx 1 prof1 ProfGroup 0 Oct 3 14:32 newfile
drwxrwxrwx 4 root root 4.0K Nov 15 10:05 .snapshot
lrwxrwxrwx 1 root root 23 Sep 14 2018 symlink -> /shared/unix/linkedfile
drwxrwxrwx 2 root bin 4.0K Jan 31 2019 test
drwxrwxrwx 3 root root 4.0K Sep 14 2018 unix
-rwxrwxrwx 1 newuser1 ProfGroup 0 Jan 14 2019 userfile
-rwxrwxrwx 1 root root 6.7G Nov 14 11:58 Windows2.iso
-rwxrwxrwx 1 root root 6.7G Nov 14 11:37 Windows.iso
```

If you 1s to the Snapshot copy in the destination volume, you see the expected files.

```
# 1s -lah /dst/.snapshot/snapmirror.7e3cc08e-d9b3-lle6-85e2-00a0986b1210_2163227795.2019-ll-
15_100555/
total 14G
drwxrwxrwx 6 root root 4.0K Nov 14 11:57 .
drwxrwxrwx 2 root root 4.0K Nov 15 10:05 ..
drwxrwxrwx 2 root root 4.0K Sep 14 2018 cifslink
dr-xr-xr-x 2 root root 0 Nov 15 2018 nas
-rwxrwxrwx 1 profl ProfGroup 0 Oct 3 14:32 newfile
lrwxrwxrwx 1 root root 23 Sep 14 2018 symlink -> /shared/unix/linkedfile
drwxrwxrwx 2 root bin 4.0K Jan 31 2019 test
drwxrwxrwx 3 root root 4.0K Sep 14 2018 unix
-rwxrwxrwx 1 newuser1 ProfGroup 0 Jan 14 2019 userfile
-rwxrwxrwx 1 root root 6.7G Nov 14 11:58 Windows2.iso
-rwxrwxrwx 1 root root 6.7G Nov 14 11:37 Windows.iso
```

6. Next, expand the FlexGroup source to provide more capacity.

```
cluster::*> volume expand -vserver DEMO -volume data -aggr-list aggr1_node1,aggr1_node2 -aggr-list-multiplier

Warning: The following number of constituents of size 30TB will be added to FlexGroup "data": 4.

Expanding the FlexGroup will cause the state of all Snapshot copies to be set to "partial".

Partial Snapshot copies cannot be restored.

Do you want to continue? {y|n}: y

[Job 23720] Job succeeded: Successful
```

The source volume now has five member volumes. The destination volume has only one.

7. Update the mirror, and ONTAP fixes it for you:

```
cluster::*> snapmirror update -destination-path DEMO:data_dst
Operation is queued: snapmirror update of destination "DEMO:data_dst".
```

The update initially fails with the following error message:

Last Transfer Error: A SnapMirror transfer for the relationship with destination FlexGroup "DEMO:data_dst" was aborted because the source FlexGroup was expanded. A SnapMirror AutoExpand job with id "23727" was created to expand the destination FlexGroup and to trigger a SnapMirror transfer for the SnapMirror relationship. After the SnapMirror transfer is successful, the

"healthy" field of the SnapMirror relationship will be set to "true". The job can be monitored using either the "job show -id 23727" or "job history show -id 23727" commands.

The job expands the volume, and you can then update again.

Now both FlexGroup volumes have the same number of member volumes.

```
cluster::*> vol show -vserver DEMO -volume data*
Vserver Volume Aggregate State Type Size Available Used%
DEMO data - online RW 150TB 14.88TB 0%
DEMO data__0001 aggr1_node2 online RW 30TB 7.57TB 0%
DEMO data 0002 aggr1 node1 online RW 30TB 7.32TB 0% DEMO data 0003 aggr1 node2 online RW 30TB 7.57TB 0%
DEMO data__0004 aggr1_node1 online RW 30TB 7.32TB 0%
DEMO data__0005 aggr1_node2 online RW 30TB 7.57TB 0%
DEMO data dst - online DP 150TB 14.88TB 0%
DEMO data_dst 0001
aggr1 node1 online DP 30TB 7.32TB 0%
DEMO data_dst__0002
aggr1 node1 online DP 30TB 7.32TB 0%
DEMO data dst 0003
aggr1 node2 online DP 30TB 7.57TB 0%
DEMO data dst 0004
aggr1 node1 online DP 30TB 7.32TB 0%
DEMO data dst 0005
aggr1 node2 online DP 30TB 7.57TB 0%
```

Data protection feature parity in FlexVol and FlexGroup volumes

Before converting a FlexVol volume to a FlexGroup volume, it is important to evaluate all ONTAP features that exist in FlexVol volumes but are currently unavailable in FlexGroup volumes. Table 6 lists data protection features, their availability across volume types, and the release in which they appeared. If your FlexVol volume uses any feature that FlexGroup volumes do not offer in ONTAP 9.7, carefully decide whether that feature is necessary. For more supported feature lists, see TR-4571: NetApp ONTAP FlexGroup volumes.

Table 6) Data protection features: FlexVol volumes versus FlexGroup volumes.

Data protection feature	FlexVol availability	FlexGroup availability
Snapshot copies	Yes	Yes (ONTAP 9.1)
SnapMirror (XDP/logical)	Yes	Yes (ONTAP 9.1)
SnapVault/Unified SnapMirror	Yes	Yes (ONTAP 9.3)
NDMP	Yes	Yes (ONTAP 9.7)
NDMPcopy	Yes	Yes (ONTAP 9.7)
NetApp MetroCluster	Yes	Yes (ONTAP 9.6)
SnapMirror Synchronous (SM-S)	Yes (ONTAP 9.5)	No

Data protection feature	FlexVol availability	FlexGroup availability
SnapLock	Yes (ONTAP 9.4)	No
Storage virtual machine disaster recovery (SVM DR)	Yes (Data ONTAP 8.3.1)	Yes (ONTAP 9.9.1)
Load-sharing mirrors (LS) (data I/O)	Yes (deprecated in ONTAP 9.5; use NetApp FlexCache® instead)	No
SnapMirror (block/DP)	Yes	No
SnapMirror to AltaVault (deprecated)	Yes	No
NetApp SolidFire to ONTAP SnapMirror	Yes	No
Cascading SnapMirror relationships	Yes	Yes (ONTAP 9.9.1)
SnapMirror fan-out	Yes	Yes (ONTAP 9.9.1)
SnapMirror to tape (SMTape)	Yes	No
Qtree SnapMirror	7-Mode only	No
1,023 Snapshot copies	Yes (ONTAP 9.4)	Yes (ONTAP 9.8)
Snapshot names/autodelete	Yes	No
SnapMirror to Simple Storage Service (S3)	Yes (ONTAP 9.8)	Yes (ONTAP 9.10.1; S3 to S3 only)
SnapMirror Business Continuity	Yes (ONTAP 9.8)	No

Backing up FlexGroup volumes

NetApp ONTAP 9.7 introduces basic NDMP support for FlexGroup volumes. As a result, a new way to back up FlexGroup volumes is available.

The following are the supported backup methods for FlexGroup volumes.

NAS-based backups.

One way to back up a FlexGroup volume is to use CIFS/SMB or NFS protocols to copy files. However, in high-file-count environments, this approach can take a long time and can add undue stress on a cluster as the backup utility crawls the files and metadata. Therefore, if you are using a NAS-based backup solution, either back up the file system during off-peak hours or else run the backups on a replica of the file system. For example, run the backups on a NetApp SnapMirror and SnapVault destination. Some backup vendors provide interaction with ONTAP APIs that can make backups faster and more efficient. Check with your backup vendor for information about the level of support provided with ONTAP systems and FlexGroup volumes.

SnapMirror and SnapVault.

As previously mentioned, FlexGroup volumes support both SnapMirror and SnapVault replication technologies. SnapMirror is more suitable for disaster recovery. NetApp SnapVault is used to keep asynchronous NetApp Snapshot copies on a destination system and is a better fit for a backup solution. ONTAP uses the same license and replication engine for both technologies, making management of relationships simpler.

NDMP-based backups.

NDMP with FlexGroup volumes

If you are running ONTAP 9.7 or later, you can perform backups of a FlexGroup volume over NDMP.

FlexGroup volumes support the same NDMP versions and topologies (such as local, three-way, and remote) as FlexVol volumes, including NDMP copy.

Supported NDMP functionality

ONTAP 9.7 does not support all NDMP features. ONTAP 9.8 introduces support for the following NDMP features for use with FlexGroup volumes and can be considered to have feature parity with FlexVol volumes (with a few exceptions):

- Restartable Backup Extensions (RBE)
- EXCLUDE
- MULTI_SUBTREE_NAMES
- IGNORE CTIME MTIME
- Single file restores

In addition, support for per-qtree exclude lists is added in ONTAP 9.8. This support is a configurable option in ONTAP in the vserver services ndmp modify command.

Listed following are the available options for NDMP in advanced privilege level.

```
cluster::*> vserver services ndmp modify
Usage:
  [-vserver] <vserver name>
                                                         Vserver
  [ -ignore-ctime-enabled {true|false} ]
                                                         Ignore Ctime
  [ -offset-map-enable {true|false} ]
                                                        Enable Offset Map
                                                        Enable TCP Nodelay
  [ -tcpnodelay {true|false} ]
   -tcpwinsize <integer> ]
                                                         TCP Window Size
                                                        Data Port Range
  [ -data-port-range <text> ]
  [ -backup-log-enable {true|false} ]
                                                        Enable Backup Log
  [ -debug-enable {true|false} ]
                                                         *Enable Debug
  [ -debug-filter <text> ]
                                                         *Debug Filter
  [ -dump-logical-find <text> ]
                                                         *Enable Logical Find for Dump
                                                        *Enable Abort on Disk Error
  [ -abort-on-disk-error {true|false} ]
                                                 *FH Throttle Value for Dir
*FH Throttle Value for Node
*Restore VM File Cache Size
  [ -fh-dir-retry-interval <integer> ]
  [ -fh-node-retry-interval <integer> ]
  [ -restore-vm-cache-size <integer> ]
  [ -enable {true|false} ]
                                                        Enable NDMP on Vserver
   -preferred-interface-role
   \{ \texttt{cluster} | \texttt{data} | \texttt{node-mgmt} | \texttt{intercluster} | \texttt{cluster-mgmt} \}, \ \dots \ ] \quad \texttt{Preferred Interface Role} 
                                                          *Secondary Debug Filter
  [ -secondary-debug-filter <text> ]
```

NDMP multistream support

Both FlexGroup and FlexVol volumes support multiple NDMP backup streams. However, some backup vendors implement multiple streams in nonstandard or unconventional ways. For example, if the NDMP DUMP_DATE is interpreted in a nonstandard way by the backup application, then multistream backups fail for FlexGroup volumes. However, multistream backups work for FlexVol volumes due to the difference in the way the volumes handle DUMP_DATE. Be sure to check with your backup vendor for details and support with FlexGroup volumes and NDMP multistream backups.

Incremental NDMP backup support

FlexGroup volumes support incremental backups by using NDMP but there is a limit of 31 incrementals allowed per FlexGroup volume before another regular full backup is needed. This limit is based on the desire to keep NDMP restore windows smaller and more manageable and is the same limit as FlexVol volumes.

NDMP performance

With NDMP, you can expect the same general performance as for NetApp FlexVol volumes (depending on file size/count) but remember that NDMP itself can be a slow process—particularly with high file count environments. If you plan to use NDMP with a FlexGroup volume, it might be more prudent to back up at the folder or qtree level rather than backing up an entire FlexGroup volume, to adhere to the backup window.

ONTAP 9.8 improves NDMP performance over ONTAP 9.7. Table 7, Table 8, Table 9, and Table 10 each show performance comparisons between FlexVol volumes and FlexGroup volumes, as well as between ONTAP 9.7 and ONTAP 9.8 releases.

Table 7) NDMP dump performance — ONTAP 9.7 versus ONTAP 9.8.

Dataset	FlexGroup — L0 ONTAP 9.7 (MBps)	FlexGroup — L0 ONTAP 9.8 (MBps)	Delta	FlexGroup — L1 ONTAP 9.7 (MBps)	FlexGroup — L1 ONTAP 9.8 (MBps)	Delta	
4K (20m)	250	375	+50%	63	81	+28.6%	
64K (10m)	472	584	+23.7%	319	353	+10.6%	
512K (0.5m)	488	593	+21.5%	434	513	+18.2%	
1G (500)	480	593	+23.5%	462	582	+25.9%	

Table 8) NDMP restore performance — ONTAP 9.7 versus ONTAP 9.8.

Dataset	FlexGroup — L0 ONTAP 9.7 (MBps)	FlexGroup — L0 ONTAP 9.8 (MBps)	Delta	FlexGroup — L1 ONTAP 9.7 (MBps)	FlexGroup — L1 ONTAP 9.8 (MBps)	Delta
4K (20m)	36	37	+3%	1	2	+100%
64K (10m)	131	148	+12%	15	19	+27%
512K (0.5m)	514	540	+5%	99	127	+28%
1G (500)	159	327	105%	154	304	+97%

Table 9) NDMP dump performance — ONTAP 9.8: FlexGroup versus FlexVol.

Dataset	FlexVol — L0 (MBps)	FlexGroup — L0 (MBps)	Delta	FlexVol — L1 (MBps)	FlexGroup — L1 (MBps)	Delta
4K (20m)	286	250	-13%	113	63	-45%
64K (10m)	596	472	-21%	439	319	-28%
512K (0.5m)	618	488	-21%	584	434	-26%
1G (500)	555	480	-14%	545	462	-15%

Table 10) NDMP Restore Performance — ONTAP 9.8: FlexGroup versus FlexVol.

Dataset	FlexVol — L0 (MBps)	FlexGroup — L0 (MBps)	Delta	FlexVol — L1 (MBps)	FlexGroup — L1 (MBps)	Delta
4K (20m)	19	36	+89%	1	1	_

Dataset	FlexVol — L0 (MBps)	FlexGroup — L0 (MBps)	Delta	FlexVol — L1 (MBps)	FlexGroup — L1 (MBps)	Delta
64K (10m)	114	131	+15%	14	15	+7%
512K (0.5m)	428	514	+20%	97	99	+2%
1G (500)	250	159	-36%	243	154	+97%

NDMPcopy example

In the following example, ndmpcopy was used to migrate about 5 million folders and files from a FlexVol volume to a FlexGroup volume. The process took about 51 minutes:

```
cluster::*> system node run -node ontap9-tme-8040-01 ndmpcopy -sa ndmpuser:AcDjtsU827tputjN -da
ndmpuser:AcDjtsU827tputjN 10.x.x.x:/DEMO/flexvol/nfs 10.x.x.x:/DEMO/flexgroup 16/ndmpcopy
Ndmpcopy: Starting copy [ 2 ] ...
Ndmpcopy: 10.x.x.x: Notify: Connection established
Ndmpcopy: 10.x.x.x: Notify: Connection established
Ndmpcopy: 10.x.x.x: Connect: Authentication successful
Ndmpcopy: 10.x.x.x: Connect: Authentication successful
Ndmpcopy: 10.x.x.x: Log: Session identifier: 12584
Ndmpcopy: 10.x.x.x: Log: Session identifier: 12589
Ndmpcopy: 10.x.x.x: Log: Session identifier for Restore: 12589
Ndmpcopy: 10.x.x.x: Log: Session identifier for Backup : 12584
Ndmpcopy: 10.x.x.x: Log: DUMP: creating "/DEMO/flexvol/../snapshot for backup.1" snapshot.
Ndmpcopy: 10.x.x.x: Log: DUMP: Using subtree dump
Ndmpcopy: 10.x.x.x: Log: DUMP: Using snapshot_for_backup.1 snapshot
Ndmpcopy: 10.x.x.x: Log: DUMP: Date of this level 0 dump snapshot: Thu Jan 9 11:53:18 2020.
Ndmpcopy: 10.x.x.x: Log: DUMP: Date of last level 0 dump: the epoch.
Ndmpcopy: 10.x.x.x: Log: DUMP: Dumping /DEMO/flexvol/nfs to NDMP connection
Ndmpcopy: 10.x.x.x: Log: DUMP: mapping (Pass I)[regular files]
Ndmpcopy: 10.x.x.x: Log: DUMP: Reference time for next incremental dump is: Fri Jun 21 16:41:27
Ndmpcopy: 10.x.x.x: Log: DUMP: mapping (Pass II)[directories]
Ndmpcopy: 10.x.x.x: Log: DUMP: estimated 12524018 KB.
Ndmpcopy: 10.x.x.x: Log: DUMP: dumping (Pass III) [directories]
Ndmpcopy: 10.x.x.x: Log: RESTORE: Thu Jan 9 12:05:07 2020: Begin level 0 restore
Ndmpcopy: 10.x.x.x: Log: RESTORE: Thu Jan 9 12:05:09 2020: Reading directories from the backup
Ndmpcopy: 10.x.x.x: Log: DUMP: dumping (Pass IV) [regular files]
Ndmpcopy: 10.x.x.x: Log: RESTORE: Thu Jan 9 12:09:37 2020: Creating files and directories. Ndmpcopy: 10.x.x.x: Log: RESTORE: Thu Jan 9 12:10:04 2020: We have processed 58223 files and
directories.
Ndmpcopy: 10.x.x.x: Log: RESTORE: Thu Jan 9 12:15:04 2020 : We have processed 850477 files and
directories.
Ndmpcopy: 10.x.x.x: Log: RESTORE: Thu Jan 9 12:20:04 2020 : We have processed 1821373 files and
directories.
Ndmpcopy: 10.x.x.x: Log: RESTORE: Thu Jan 9 12:25:04 2020: We have processed 2810141 files and
directories.
Ndmpcopy: 10.x.x.x: Log: RESTORE: Thu Jan 9 12:30:04 2020 : We have processed 3807403 files and
directories.
Ndmpcopy: 10.x.x.x: Log: RESTORE: Thu Jan 9 12:35:04 2020 : We have processed 4814787 files and
directories.
Ndmpcopy: 10.x.x.x: Log: RESTORE: Thu Jan 9 12:38:41 2020: Writing data to files.
Ndmpcopy: 10.x.x.x: Log: DUMP: Thu Jan 9 12:38:41 2020 : We have written 1597813 KB.
Ndmpcopy: 10.x.x.x: Log: RESTORE: Thu Jan 9 12:40:04 2020 : We have read 4215061 KB from the
backup.
Ndmpcopy: 10.x.x.x: Log: DUMP: Thu Jan 9 12:43:41 2020 : We have written 10995860 KB.
Ndmpcopy: 10.x.x.x: Log: ACL_START is '11842836480'
Ndmpcopy: 10.x.x.x: Log: RESTORE: Thu Jan 9 12:44:00 2020: Restoring NT ACLs.
Ndmpcopy: 10.x.x.x: Log: DUMP: dumping (Pass V) [ACLs]
Ndmpcopy: 10.x.x.x: Log: DUMP: Debug: 11566072 KB
Ndmpcopy: 10.x.x.x: Log: DUMP: DUMP IS DONE
Ndmpcopy: 10.x.x.x: Log: DUMP: Deleting "/DEMO/flexvol/../snapshot for backup.1" snapshot.
Ndmpcopy: 10.x.x.x: Log: DUMP_DATE is '5856116983'
Ndmpcopy: 10.x.x.x: Notify: dump successful
Ndmpcopy: 10.x.x.x: Log: RESTORE: RESTORE IS DONE
```

```
Ndmpcopy: 10.x.x.x: Notify: restore successful
Ndmpcopy: Transfer successful [ 0 hours, 50 minutes, 53 seconds ]
Ndmpcopy: Done
```

The same dataset using cp over NFS took 316 minutes—six times as long as ndmpcopy:

```
# time cp -R /flexvol/nfs/* /flexgroup/nfscp/
real  316m26.531s
user  0m35.327s
sys  14m8.927s
```

Using the NetApp XCP, that dataset took just under 20 minutes—or approximately 60% faster than ndmpcopy:

```
# xcp copy 10.193.67.219:/flexvol/nfs 10.193.67.219:/flexgroup_16/xcp
Sending statistics...
5.49M scanned, 5.49M copied, 5.49M indexed, 5.60 GiB in (4.81 MiB/s), 4.55 GiB out (3.91 MiB/s),
19m52s.
```

Note: This XCP copy was performed on a VM with a 1GB network and not much RAM or CPU; more robust servers perform even better.

Customer success stories: Backup repositories

FlexGroup volumes are being used in various ways. A common use case for FlexGroup volumes is using one as a backup repository. Because of its ability to spread performance and capacity across cluster nodes and to provide a viable single namespace, a FlexGroup volume provides an excellent way to store archival data.

The following sections describe two customer environments in which FlexGroup volumes are playing a pivotal role in providing a high-performing, resilient backup target.

Use case 1: Backing up Oracle RMAN databases

This customer is running a large Oracle database on AIX and wants to migrate the database to PostGRES SQL Server in the cloud to reduce maintenance and storage costs. Some of the challenges this customer is facing for this migration are:

- **Immense capacity needs**. The database is 890TB (and growing about 10TB per month) and must be staged to a single namespace.
- Converting from a legacy application to a modern application. The source database is running on AIX, which is <u>big endian</u>. The target application runs little endian. The database must be dumped, converted, and then moved to the cloud.
- Must remain online during migration. The database must keep running during the migration, with a small cutover window. Incremental updates of changes are needed as the conversion/migration occurs.

Backup, conversion, and migration steps

The following steps occur when completing the migration task of a database that is larger than 800TB. They are also shown in Figure 9 and Figure 10.

• The AIX server performs an Oracle Recovery Manager (RMAN) backup of the database to an NFS file system. The NFS file system is a FlexGroup volume. You must use a FlexGroup volume because RMAN needs one mount point to direct the RMAN dump, and it needs to support more than 100TB. FlexGroup works well because RMAN can generate output in parallel to several target files that are spread across four aggregates on two controllers. Transfer speed is important, and FlexGroup helps by using parallel operations and load balancing across nodes and aggregates.

- A Linux server performs the big endian to little endian conversion; an NFS mount is required. The conversion ends up as a little-endian database on an FC LUN.
- The converted database is then replicated to a second NetApp array (array 2). After the initial dump
 of the database has been confirmed and fully replicated to array 2, array 2 is shipped to an Equinix
 data center and direct-connected to AWS.
- The process repeats several times, except it is RMAN level-1 dumps (incrementals) that are dumped, converted, and replicated to array 2 in Equinix. A final incremental is processed, and the database is shut down on the AIX system and brought up on the PostGRES SQL Server system in AWS.

Figure 9) Workflow for Oracle RMAN backup to FlexGroup volume, conversion, migration to cloud.

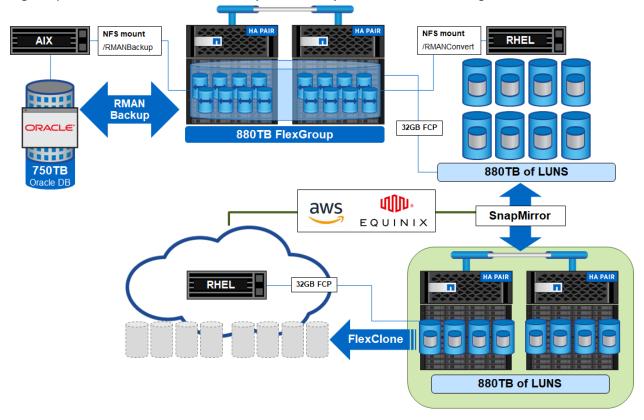
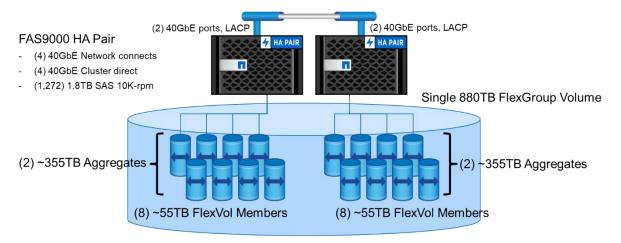


Figure 10) FlexGroup volume design.



Use case 2: Backing up SQL Server databases

A customer wanted to perform compressed backups of 5,000 Microsoft SQL Servers over SMB. This test was done with approximately 200 servers to vet out the solution, with a slow ramp-up over the course of a few months.

The customer not only wanted the database to be a backup target, but also wanted to replicate it to a disaster recovery site by using NetApp SnapMirror for extra data protection.

Each site has a six-node NetApp FAS8200 cluster (as seen in Figure 11) running ONTAP 9.4 using 6TB NL-SAS encrypted drives. Each cluster holds 3PB of usable capacity. The clusters use 30 FlexGroup volumes and use gtrees within the volumes for data organization.

The FlexGroup volumes themselves are 64TB each and the member volumes are 2.6TB apiece, with four members per node across six nodes (24 total members per FlexGroup volume).

Microsoft*
SQL Server

Microsoft*
SQL Server

Figure 11) SQL Server backup environment.

Results

This customer needed a single namespace that could collect about 150TB worth of SQL backup data over a 12-hour period. That rate is about 12TB/hour at about 3.5GB per second.

During testing, NetApp used 222 servers at site A and 171 servers at site B. During the test, each cluster's CPU was at 95% utilization and the backup jobs (sequential writes) were able to accomplish about 8.4GB per second. This amount was about 2.4 times the amount of throughput the job needed, which means the backups would complete in about 5 hours, rather than the 12-hour window. Also, this SMB workload was able to perform about 120,000 IOPS. Throughput and total operations during this test run can be seen in Figure 12. When more clients are added to this workload, we expect that the throughput maxes out at approximately 9GB per second.

Figure 12) Throughput and total operations during test runs.

cpu	сри	total			fcache		total	total	data	data	data	cluster	cluster	cluster	disk	disk	pkts	pkts
avg	busy	opa	nfs-ops	cifs-ops	ops	spin-ops	recv	sent	busy	recv	sent	husy	recv	sent	read	write	recv	sent
56%	81%	54530		54530		54420	6.16GB	2.65GB	444	3.34GB	28.3MB	22%	2.82GB	2.62GB	128MB	3.31GB	968237	898917
65%	78%	70482		70482		70407	8.03GB	3.44GB		4.33GB	30.9MB	241	3.70GB	3.41GB	114MB	4.79GB	1178768	1102912
74%	87%	88725		88725		88105	10,2GB	4.30GB	494	5.44GB	37.1MB	36%	4.78GB	4.26GB	157MB	5.56GB	1389743	1324559
86%	92%					110569	12.5GB	5.88GB	531	6.84GB	41.9MB	31%	6,00GB	5.84GB	153MB	6.77GB	1726469	1679506
88%	92%	115036		115036		113509	13.2GB	6.44GB	51%	7.06GB	45.9MB	49%	6.14GB	6.40GB	142MB	7.6508	1845760	1814549
92%	95%	118148		118148		117104	13.6GB	6.11GB	45%	7.26GB	49.9MB	42%	6.34GB	6.07GB	149MB	8.11GB	1802929	1769902
95%	984	122953		122953		122123	14,3GB	7.10GB	474	7.54GB	45.9MB	43%	6.75GB	7.06GB	135MB	8.29GB	1978205	1952416
96%	99%	126241		126241		125104	14.6GB	6.43GB	531	7.75GB	54.3MB	44%	6.80GB	6.37GB	135MB	8.28GB	1865375	1849777
95%	97%	121948		121948		120719	13.9GB	7.25GB	444	7.47GB	47.3MB	40%	6.41GB	7.20GB	10818	8.30GB	1995908	1967271
95%	98%	123079		123079			13.9GB	5.71GB	41%	7.56GB	49.0MB	38%	6.37GB	5.66GB	129MB	8.4058	1761097	1712061
95%	974	120567		120567		120493	13.7GB	7.01GB	421	7.41GB	47.6MB	36%	6.34GB	6.96GB	116MB	8.4868	1888934	1882711
95%	98%	119573		119573		119458	13.6GB	5.74GB	37€	7.33GB	44.4MB	35%	6.28GB	5.69GB	111MB	8.1958	1702969	1671363
95%	97%	119538		119538		119829	13.5GB	6.98GB	414	7.34GB	46.2MB	35%	6.17GB	6.93GB	120MB	8.4468	1880298	1873821
95%	98%	118119		118119		118373	13.4GB	5.56GB		7.25GB	45.4MB	374	6.17GB	5.52GB	118MB	8.42GB	1666066	1630785
95%	98%	118862		118862		118327	13.6GB	€.29GB	39%	7.29GB	47.1MB	331	6.30GB	6.24GB	114MB	8,31GB	1784134	1759266
96%	99%	121039		121039		121136	13.7GB	6.67GB	38%	7.44GB	44.SMB	34%	6.21GB	6.63GB	120MB	8.3508	1832520	1827158
96%	991	120852		120852		120920	13,7GB	5.77GB	39%	7.42GB	47.8MB	331	6.24GB	5.72GB	111MB	8.51GB	1706939	1678778
94%	974	119819		119819			13.7GB	7.05GB		7.3608	42.6MB	35%	6.2908	7.01GB	118MB	8.4908	1882656	1877381

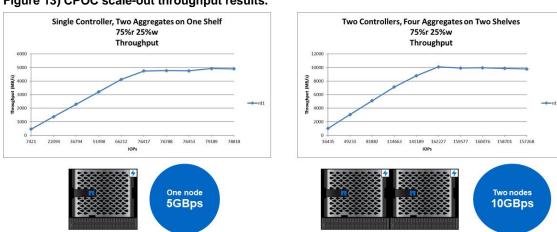
Data protection

In addition to the performance seen on the FlexGroup volume for the production workload, this customer was also able to achieve a high rate of transfer for the SnapMirror relationships between sites. This transfer rate of 8.4GB per second means that the replication window for a 150TB dataset is about 5.5 hours for the initial transfer. After that, the deltas should be able to complete well within the required transfer window, providing a solid disaster recovery plan for these SQL Server backups.

Scale-out performance

This six-node cluster was able to push over 8.4GB per second to a FlexGroup volume. In our customer proof of concept (CPOC) labs, we have seen near-linear performance gains by adding nodes to a cluster. The following graphs in Figure 13 show throughput results for a single-node NetApp AFF A700 and a two-node AFF A700.

Figure 13) CPOC scale-out throughput results.



If we wanted to add even more performance to our backup workload, we could add more nodes.

Lessons learned

During this backup architecture, some valuable lessons were learned that can help improve the experience even further.

 Stagger the workloads – Some NAS clients/protocol versions are unable to handle longer transfer times when thousands of other jobs are competing for resources. Adjust jobs to run in batches at different times and leverage ONTAP quality of service (QoS) for performance throttling.

- When possible, have the database file dumps break up over multiple smaller files for best data balance results across the FlexGroup volume.
- Leverage qtrees, when possible, for their reporting, quotas, and QoS functionality. (Qtree QoS is available in ONTAP 9.8 and later)
- Deploy the FlexGroup across as many homogenous nodes in the cluster as possible to leverage more of the cluster's hardware resources.

Conclusion

Not only is a FlexGroup volume great for small or high-file-count workloads such as electronic design automation (EDA) and software builds, it also can manage high throughput requirements for larger streaming files. It also reduces backup windows by scaling out storage across multiple nodes and applies all your cluster resources while maintaining performance, even with spinning disks.

Where to find additional information

To learn more about the information described in this document, refer to the following documents:

- TR-4015: SnapMirror configuration and best practices guide <u>www.netapp.com/us/media/tr-4015.pdf</u>
- TR-4571: NetApp ONTAP FlexGroup volumes: Best practices and implementation guide www.netapp.com/us/media/tr-4571.pdf

Version history

Version	Date	Document version history
Version 1.0	April 2018	First edition
Version 2.0	November 2018	ONTAP 9.5
Version 2.1	June 2019	ONTAP 9.6
Version 2.2	January 2020	ONTAP 9.7
Version 2.3	January 2021	ONTAP 9.8
Version 2.4	June 2021	ONTAP 9.9.1
Version 2.5	October 2021	ONTAP 9.10.1

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